

Metaevaluation Criteria and Sources

Metaevaluation Criteria	GAGAS	PES	KEC
<i>Preliminaries</i>			
Scope and Objectives	Report Contents (8.07)		Preface (Preliminaries II)
Values Identified		Values Identification (U4)	Values (Foundations 5)
Quality Control	Quality Control and Assurance (3.49)		Methodology (Preliminaries III)
Standards Compliance	Statement on GAGAS Compliance (8.30)		Metaevaluation (Conclusions and Implications 15)
Stakeholder Identification		Stakeholder Ident. (U1)	Preface (Preliminaries II)
<i>Methodology</i>			
Complete	Complete (8.41)	Information Scope & Selection (U3)	
Accurate	Accurate (8.43)	Accuracy (A1, A4)	
Defensible	Evidence (7.48)	Accuracy (A10)	
Human Subjects Rights		Rights of Human Sub. (P3)	Metaevaluation (Conclusions and Implications 15)
Comparisons	Evidence (7.50)		Comparisons (Subevaluations 9)
<i>Results</i>			
Context Analysis	Findings (8.13)	Context Analysis (A2)	Preface (Preliminaries II)
Clear	Clear (8.50)	Report Clarity (U5)	
Concise	Concise (8.53)		
Findings and Conclusions	Findings (8.13) Conclusions (8.27) Convincing (8.49)		Synthesis (Conclusions and Implications 11)
Recommendations	Recommendations (8.28)		Recommendations and Explanations (Conclusions and Implications 12)
Timeliness	Timely (8.39)	Report Timeliness and Dissemination (U6)	

<b>Preliminaries</b>	
Statement of Scope and Objectives	<p><i>Meets:</i> The report lists the <i>specific</i> agency/department and/or activities to be evaluated/audited. The report lists the objectives of the audit/evaluation and how the objectives were determined (by legislative direction, statute, etc.).</p> <p><i>Partially Meets:</i> The report specifies either the agency/department/activity evaluated or the objectives but not both.</p> <p><i>Fails to Meet:</i> The report fails to specify both the agency/department/activities evaluated/audited and the objectives and their source.</p>
Identification of Values	<p><i>Meets:</i> The report clearly identifies the values used to determine the evaluative conclusions.</p> <p><i>Partially Meets:</i> The report implies the values used without clearly identifying them.</p> <p><i>Fails to Meet:</i> The report fails to address the values used.</p>
Quality Control	<p><i>Meets:</i> The report clearly identifies sufficient internal quality control measures and any external peer reviews conducted of its organization.</p> <p><i>Partially Meets:</i> The report identifies limited internal quality control measures and no external organizational reviews.</p> <p><i>Fails to Meet:</i> The report identifies no internal quality control measures and no external organizational reviews.</p>
Statement of Standards Compliance	<p><i>Meets:</i> The report states what standards (PES, GAGAS), if any, guides its conduct.</p> <p><i>Partially Meets:</i> The report refers to adherence to general professional standards but does not specify which standards.</p> <p><i>Fails to Meet:</i> The report does not address standards.</p>
Stakeholder Identification	<p><i>Meets:</i> The report clearly identifies stakeholders for the program/agency/policy under review.</p> <p><i>Partially Meets:</i> The report identifies limited stakeholders or identifies only an overly broad group of stakeholders (e.g. the people to the state).</p> <p><i>Fails to Meet:</i> The report fails to identify any stakeholders.</p>

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<b>Methodology</b>	
Complete	<p><i>Meets:</i> The report covers all of the areas it claims to cover in the scope and objectives sections.</p> <p><i>Partially Meets:</i> The report covers most areas it claims to cover in the scope and objectives section.</p> <p><i>Fails to Meet:</i> The report fails to cover most of the areas it claims to cover in the scope and objectives section.</p>
Accurate	<p><i>Meets:</i> If there are agency comments included, the agency did not dispute the accuracy of the information reported.</p> <p><i>Partially Meets:</i> The agency disputed the accuracy of some information but the report convincingly rebuts this.</p> <p><i>Fails to Meet:</i> Agency convincingly disputes accuracy.</p>
Defensible	<p><i>Meets:</i> There was “sufficient, competent, and relevant evidence . . . obtained to provide a reasonable basis for the auditors’ findings and conclusions.”</p> <p><i>Partially Meets:</i> There was competent and relevant information presented but the conclusions go beyond what that evidence supports.</p> <p><i>Fails to Meet:</i> There was not sufficient, competent and relevant information presented to provide a reasonable basis for the findings.</p>
Human Subject Rights	<p><i>Meets:</i> There is no evidence that the report authors violated subjects rights (disclosed confidentiality, presented negative information without opportunity for rebuttal, etc.).</p> <p><i>Partially Meets:</i> There is some evidence that the report authors violated subjects rights (disclosed confidentiality, presented negative information without opportunity for rebuttal, etc.).</p> <p><i>Fails to Meet:</i> There is strong evidence that the report authors violated subjects rights (disclosed confidentiality, presented negative information without opportunity for rebuttal, etc.).</p>
Comparisons	<p><i>Meets:</i> The report competently addresses evaluations/audits of similar programs/agencies.</p> <p><i>Partially Meets:</i> The report refers to evaluations/audits of similar programs/agencies but doesn’t address them fully.</p> <p><i>Fails to Meet:</i> The report fails to refer to evaluations/audits of similar programs/agencies.</p>

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<b>Results</b>	
Context Analysis	<p><i>Meets:</i> The report presents the context in which the program/policy/agency exists “in enough detail, so that its likely influences on the program can be identified.”</p> <p><i>Partially Meets:</i> The context is presented in insufficient detail.</p> <p><i>Fails to Meet:</i> The report doesn’t present, or only marginally presents, the context.</p>
Clear	<p><i>Meets:</i> The report is (1) easy to read and understand, (2) technical terms, abbreviations and acronyms are explained, and (3) the material is logically organized.</p> <p><i>Partially Meets:</i> Only two of the three criteria are met.</p> <p><i>Fails to Meet:</i> One or none of the criteria are met.</p>
Concise	<p><i>Meets:</i> The report is only as long as is necessary to convey and support conclusions. Needless repetition and extraneous detail are avoided.</p> <p><i>Partially Meets:</i> The report includes some repetition and extraneous detail.</p> <p><i>Fails to Meet:</i> The report includes extensive repetition and/or extraneous detail.</p>
Findings and Conclusions	<p><i>Meets:</i> Findings are supported by credible evidence related to the report’s objectives. Conclusions are reported as “logical inferences from the findings” not simply a restatement of findings.</p> <p><i>Partially Meets:</i> Findings are supported, but conclusions are mostly a restatement of findings.</p> <p><i>Fails to Meet:</i> Findings are insufficiently supported and conclusions are mostly a restatement of findings.</p>
Recommendations	<p><i>Meets:</i> Recommendations presented are specific, measurable, and logically follow findings and conclusions.</p> <p><i>Partially Meets:</i> Recommendations logically follow findings and conclusions but are either unspecific or not measurable.</p> <p><i>Fails to Meet:</i> Recommendation do not logically follow findings and conclusions.</p>
Timeliness	<p><i>Meets:</i> The report was completed within the requirement (if any) of the authorization.</p> <p><i>Partially Meets:</i> The report was not completed within the requirements but legitimate reasons are given for this.</p> <p><i>Fails to Meet:</i> The report was not completed with the requirements with no reasonable explanation.</p>