

Table 1

DAC statistics: Financial contribution for aid activates by bilateral governments (2004)

A star (*) indicates the agency which aid system is reviewed in this study

(2004, US\$ millions)

	Total ODA										Ratio of Bilateral ODA in the total ODA				
	(1) Bilateral ODA							(2) Grants & Capital Subscription for Multinational agencies							
	(a) Grants	Project & Program Aid	Technical Cooperation	Other type of Grants	(b) Loans by Government	(c) Others	To U.N. Agencies	To WB groups (IBRD, IDA, IFC, MIGA)	To Regional Development Banks (ADB, AfDB, IDB, EBRD)	To EC		Others			
* United States	19,704.91	16,249.50	17,026.60	4,558.43	7,346.65	5,121.52	(251.54)	(525.56)	3,455.41	440.40	1,753.41	490.17	-	771.43	82.5%
* Japan	8,922.46	5,917.19	7,130.63	1,027.93	1,914.14	4,188.56	1,041.28	(2,254.72)	3,005.27	1,242.81	1,034.92	449.96	-	277.58	66.3%
* France	8,472.56	5,566.84	6,066.77	634.28	2,340.19	3,092.30	(240.23)	(259.70)	2,905.73	180.24	396.63	163.64	1,863.35	301.86	65.7%
* United Kingdom	7,882.69	5,338.72	5,239.06	1,450.87	751.09	3,037.10	63.62	36.04	2,543.97	380.65	328.45	130.35	1,529.23	175.28	67.7%
* Germany	7,534.21	3,822.59	4,512.97	639.30	2,486.08	1,387.59	(393.15)	(297.23)	3,711.62	288.93	1,147.82	170.03	1,880.86	240.76	50.7%
* Netherlands	4,203.82	2,670.21	3,217.19	744.27	663.34	1,809.58	(531.60)	(15.39)	1,533.62	446.21	544.82	72.87	382.84	86.87	63.5%
* Sweden	2,722.01	2,075.76	2,066.05	1,210.48	112.10	743.47	9.71	-	646.26	290.11	24.50	47.69	225.15	58.80	76.3%
* Canada	2,599.13	1,990.98	2,021.68	959.01	414.35	648.32	(30.70)	-	608.15	156.04	208.81	102.06	-	141.24	76.6%
* Norway	2,198.66	1,536.44	1,495.50	781.23	287.43	426.84	(5.60)	46.54	662.22	428.77	120.49	74.25	-	38.71	69.9%
* Spain	2,436.99	1,400.27	1,227.15	121.09	340.22	765.84	240.35	(67.23)	1,036.72	47.99	190.16	131.07	627.54	39.95	57.5%
* Denmark	2,037.13	1,202.05	1,191.53	830.62	112.27	248.64	4.32	6.20	835.08	337.16	99.66	50.16	178.57	169.53	59.0%
* Australia	1,460.13	1,190.61	1,190.61	83.69	691.67	415.25	-	-	269.52	44.32	87.29	73.82	-	64.10	81.5%
* Switzerland	1,545.44	1,186.88	1,172.64	545.30	117.07	510.27	(6.05)	20.29	358.56	116.82	146.37	42.29	-	53.08	76.8%
* Belgium	1,463.31	902.42	952.76	112.15	414.33	426.28	(45.43)	(4.91)	560.89	51.89	93.73	25.60	334.76	54.90	61.7%
* Portugal	1,031.05	872.71	178.97	14.89	114.49	49.59	693.74	-	158.34	9.98	12.41	17.39	112.45	6.11	84.6%
* Italy	2,461.54	704.16	854.86	287.26	140.35	427.25	(150.70)	-	1,757.38	252.83	16.67	169.43	1,186.01	132.44	28.6%
* Ireland	607.44	409.59	409.59	171.10	11.91	226.58	-	-	197.85	61.33	26.87	-	92.53	17.12	67.4%
* Finland	679.92	401.77	396.76	-	178.15	218.61	-	13.74	278.10	87.11	-	15.74	128.77	-	59.1%
* Austria	677.63	352.53	380.25	10.69	132.93	236.63	(3.60)	(24.12)	325.10	26.19	46.46	30.14	199.85	22.45	52.0%
* Greece	464.59	303.81	303.81	26.80	195.85	81.16	-	-	160.78	8.01	4.43	-	143.65	4.68	65.4%
* Luxembourg	235.59	171.12	171.12	105.80	4.33	60.99	-	-	64.47	11.77	10.94	10.64	20.34	10.78	72.6%
* New Zealand	212.10	159.03	159.03	55.89	45.73	57.41	-	-	53.07	15.30	8.26	6.56	-	22.95	75.0%
(1) Sub-total: DAC Bilateral Donors	79,553.31	54,425.18	57,365.53	14,371.08	18,814.67	24,179.78	394.42	(3,326.05)	25,128.11	4,924.86	6,303.10	2,273.86	8,905.90	2,673.84	68.4%
Arab Countries	2,124.14	2,057.23	-	-	-	-	-	-	66.91	-	-	-	-	-	96.9%
Other Bilateral Donors	491.97	467.64	-	-	-	-	-	-	23.97	-	-	-	-	-	95.1%
Korea	423.32	330.76	212.09	123.78	53.83	34.48	118.68	-	92.56	21.58	44.18	28.29	-	18.55	78.1%
Turkey	339.15	291.84	291.84	-	184.71	107.13	-	-	47.31	16.21	10.05	15.36	-	5.69	86.1%
Czech Republic	108.17	63.48	63.48	28.37	10.95	24.16	-	-	44.69	5.52	2.48	-	33.57	3.11	58.7%
Hungary	70.14	35.49	-	-	-	-	-	-	34.64	-	-	-	-	-	50.6%
Poland	117.51	24.89	24.89	-	-	24.89	-	-	92.62	7.88	-	-	76.52	-	21.2%
Iceland	21.24	16.36	-	-	-	-	-	-	4.87	-	-	-	-	-	77.0%
Slovak Republic	28.23	10.75	-	-	-	-	-	-	17.47	-	-	-	-	-	38.1%
Lithuania	9.08	0.81	-	-	-	-	-	-	8.27	-	-	-	-	-	8.9%
Latvia	8.33	0.21	-	-	-	-	-	-	8.12	-	-	-	-	-	2.5%
(2) Subtotal: Non-DAC Bilateral Donors	3,741.28	3,299.46	592.30	152.15	249.49	190.66	118.68	-	441.43	51.19	56.71	43.65	110.09	7.31	88.2%
Grand Total: All Bilateral Donors	83,294.59	57,724.64	57,957.83	14,523.23	19,064.16	24,370.44	513.10	(3,326.05)	25,569.54	4,976.05	6,359.81	2,317.51	9,015.99	2,681.15	1.57
(3) EC	8,703.57	8,067.95	7,793.89	5,401.52	479.03	1,913.34	274.06	-	635.62	300.27	282.15	-	-	53.20	92.7%
Grand Total, including EC**	91,998.16	65,792.59	65,751.72	19,924.75	19,543.19	26,283.78	787.16	(3,326.05)	26,205.16	5,276.32	6,641.96	2,317.51	9,015.99	2,734.35	71.5%

** The OECD-DAC official figure of total ODA is US\$ 91,998.16 million. But it is clear that this figure counts EC contribution twice.
Source) OECD S database (http://www1.oecd.org/scripts/cde/viewbase.asp?dbname=cde_dac)

Table 2 *Review of main developments in the history of foreign aid and its evaluation activities*

	Dominant or rising institutions	Donor ideology	Donor focus	Types of aid	Trends in aid evaluation	Aid evaluation reports / Influential books
1940s	Marshall Plan and UN system (including World Bank).	Planning.	Reconstruction.	- Marshall Plan (largely program aid)		
1950s	United States, with Soviet Union gaining importance from 1956.	Anti-communist, but with role for the state.	Community Development Movement.	- Food aid - Projects aid		Lewis (1951) Hayes (1959)
1960s	Establishment of bilateral programs	As for the 1950s, with support for state in productive sectors.	Productive sectors (e.g. support to the green revolution) and infrastructure.	- Bilaterals gave TA & budget support - Multilaterals supported projects.	(The boom in establishment of bilateral aid agencies.) - <i>Sophistication of economic analysis for project preparation</i>	Campbell & Stanley (1966) Hirschman (1967)
1970s	Expansion of multilaterals especially World Bank, IMF and Arab-funded agencies).	Continued support for state activities in productive activities and meeting basic needs.	Poverty, taken as agriculture and basic needs (social sectors).	- Fall in food aid - Start of import support.	The boom in establishment of evaluation units. - <i>Focus on the process of aid</i> - <i>Large-scale experiments & disappointment.</i> - <i>Log-frame by USAID</i>	Weiss (1974) Tendler (1975) Rossi, Freeman & Wright (1978)
1980s	Rise of NGOs from mid-1980s.	Market-based adjustment (rolling back the state).	Macroeconomic reform.	- Financial program aid - Debt relief.	Focus on aid effectiveness by various approaches - <i>Rapid, low-cost methods</i> - <i>Participatory approach</i> - <i>Training & empowerment</i>	Scriven (1980) Casley & Lury (1982) Cassen (1986) OECD (1986) Riddell (1987) Chambers (1988)
1990s-	Eastern Europe & FSU become recipients; emergence of corresponding institutions.	Market-based adjustment continued	Environment and gender (but passed quickly).	Financial program aid & debt relief continue	Diffusion of DAC's evaluation criteria vs. Adoption of "Managing results" approach. - <i>Sector level, country level, and thematic evaluation.</i>	OECD-DAC (1991) "DAC evaluation criteria" Osborne & Gaebler. (1993) World Bank (1992) "Wapenhans report"
(Late '90s – 2000s)	Aid coordination forum at local level as well as headquarter level	Move back to role of the state. (Balance the market & the state)	Poverty and then governance	Move toward sector support.	New agenda: Sector program evaluation New agenda: Evidence-based evaluation in aid	World Bank (1998) Wolfenson. (1998) Hatry (1999)

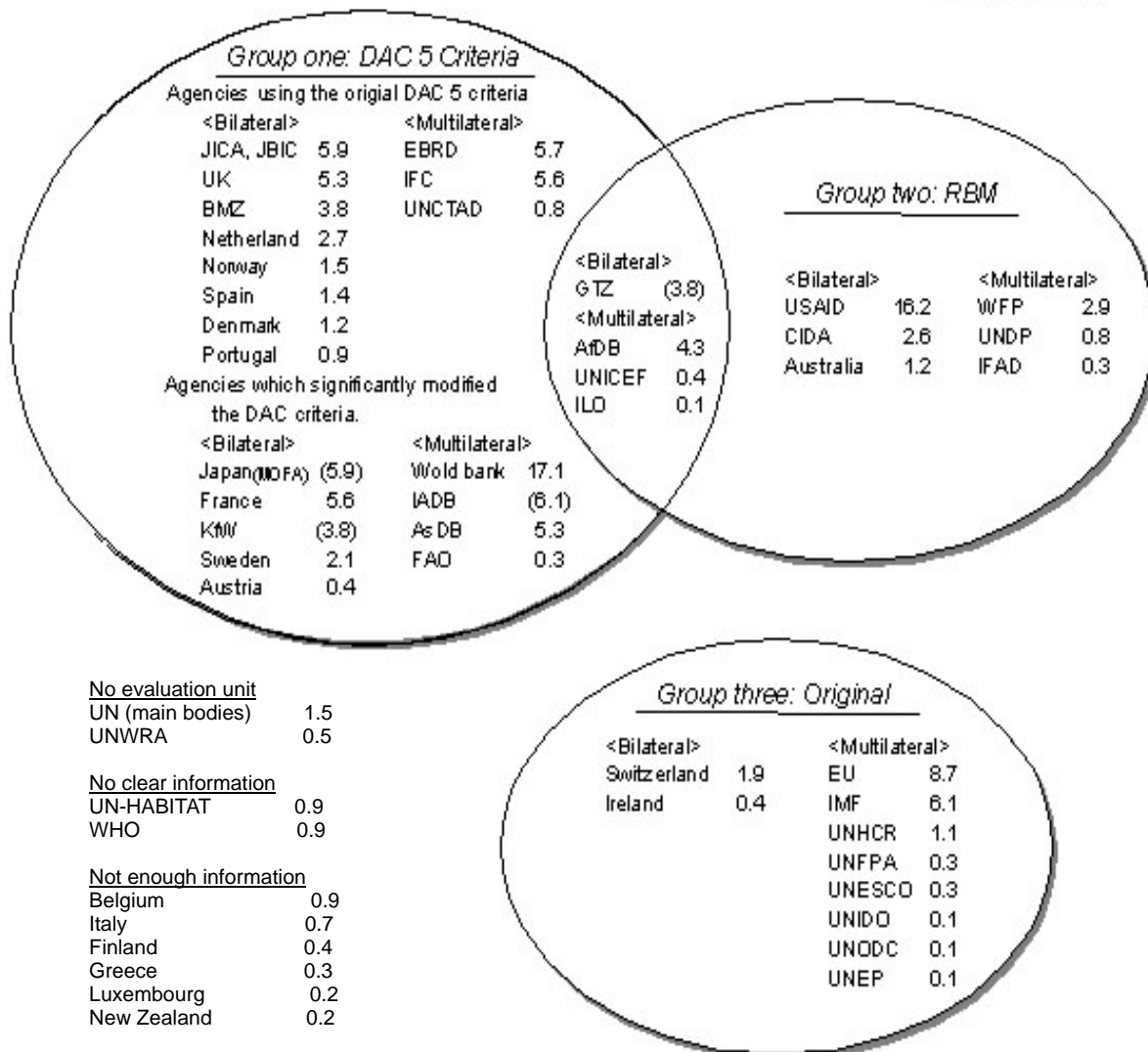
Note: Entries are main features or main changes, there are of course exceptions.

Source: The left table is adapted from Hjertholm, P & White, H. (2000).p81 with some modification by the author (Sasaki). The row of "Late '90s – 2000s" and the light table are prepared by the author (Sasaki).

Figure 1

Grouping by aid evaluation system

(US\$ bill. 1994)



Annex

Summary of Activities, Criteria, Type of Evaluation

No.	Group	Country	Agency	The year aid started	The year Eval. Office Established	Volume of Aid (US\$m ill.) (year)	Volume of Eval. (Year)	Annual Eval. Report	Eval. Guide-lines	Type of evaluation	Comments
Bilateral agencies											
1	Bilateral	U.S.	USAID (called ECA until 1961)	1951	1979	19,705 (2004)	167 (2004)		X	RBM	<i>A review of its evaluation experience</i> was available.
2	Bilateral	Japan	Ministry of Foreign Affairs	N/A	1981	8,906 (2004)	12 (2004)	X	X	DAC Criteria+	
3	^	Japan	JICA	1962	1982	^	72 (2002)	X	X	DAC Criteria	
4	^	Japan	JBIC	1961	1975	^	47 (2002)	X	X	DAC Criteria	
5	Bilateral	France	Agence Française de Développement (AFD)	N/A	N/A	8,473 (2004)	37 001-02	X	X	Original	
6	Bilateral	U.K.	DFID	1948	N/A	7,883 (2004)	10 (2003)		X	DAC Criteria	DFID recently accepted DAC criteria (2005)
7	Bilateral	Germany	Min. of Eco. Coop. & Dev. (BMZ)	1961	1970	7,534 (2004)	11 (2004)			DAC Criteria	
8	^	Germany	GTZ	1975	N/A	^	2 (2004)		X	DAC Criteria & RBM	GTZ recently accepted RBM system
9	^	Germany	KfW	1961	N/A	^	N/A (2004)		X	Original	Rating and weighting are employed
10	Bilateral	Netherlands	Ministry of Foreign Affairs	1949	1977	4,204 (2004)	5 (2004)			DAC Criteria	Rich sector-/ theme-specific evaluations
11	Bilateral	Sweden	SDA	1961	1970	2,722 (2004)	38 (2004)	X	X	DAC Criteria+	<i>A review of its evaluation experience</i> was available.
12	Bilateral	Canada	CIDA	1968	1970	2,599 (2004)	5 (2004)		X	Original & RBM	CIDA prepared the DAC criteria in 1991
13	Bilateral	Italy	Ministry of Foreign Affairs	1978	N/A	2,462 (2004)	4 (2003)			?	Very limited information
14	Bilateral	Spain	Exteriores Y De Cooperación	N/A	1998	2,437 (2004)	2 8-2004		X	DAC Criteria	
15	Bilateral	Norway	NORAD	1971	N/A	2,199 (2004)	6 (2004)	X		DAC Criteria	In 2004, NORAD became responsible of aid evaluation. The annual report is just a collection of summaries of respective evaluations.
16	Bilateral	Denmark	Ministry of Foreign Affairs	1971	1982	2,037 (2004)	7 (2004)		X	DAC Criteria	Annual report is a multi-year report.
17	Bilateral	Switzerland	SDC	1961	N/A	1,545 (2004)	4 (2004)	X		Original	More impact-evaluation-type approach
18	Bilateral	Belgium	Ministry of Foreign Affairs	1971	1971	1,463 (2004)	N/A (2004)			?	
19	Bilateral	Australia	AusAID	N/A	Before 1983	1,460 (2004)	2 (2004)		X	RBM	
20	Bilateral	Portugal	IPAD	N/A	1998	1,031 (2004)	3 (2004)	X	X	DAC Criteria	
21	Bilateral	Austria	Federal Ministry of Foreign Affairs	N/A	N/A	677 (2004)	1 (2004)		X	DAC Criteria +	
22	Bilateral	Finland	Ministry of Foreign Affairs	N/A	N/A	655 (2004)	2 (2004)			?	Multi-year sector evaluations are conducted
23	Bilateral	Ireland	DCI	N/A	N/A	607 (2004)	12 (2004)			Original	Value for money & the value to beneficiaries are employed as criteria
24	Bilateral	Greece	Ministry of Foreign Affairs	N/A	N/A	465 (2004)	N/A (2004)			N/A	Very limited information
25	Bilateral	Luxembourg	Ministry of Foreign Affairs	N/A	N/A	236 (2004)	N/A (2004)			N/A	Very limited information
26	Bilateral	New Zealand	NZAD	N/A	N/A	212 (2004)	N/A (2004)	X		N/A	Very limited information. Annual report is the organizational evaluation.

No.	Group	Agency	The year aid started	The year Eval. Office Established	Volume of Aid (US\$mill.)	(year)	Volume of Eval.	(Year)	Annual Eval. Report	Eval. Guide-lines	Type of evaluation	Comments
Multinational agency Type I: Loan agencies					50,123							
27	Multi:Loan	World Bank	1944	1973	17,045	(2004)	Approx. 90	(2004)	X	X	DAC Criteria++	Modified DAC criteria much. Employed Rating system.
28	Multi:Loan	IMF	1944	2001	6,137	(2004)	4	(2004)	X		Original	
29	Multi:Loan	IADB	1959	1999	6,100	(2004)	22	(2004)	X	X	?	Only guideline for country evaluation is developed
30	Multi:Loan	EBRD	N/A	N/A	5,662	(2004)	29	(2004)	X	X	DAC Criteria	Employed random selection
31	Multi:Loan	IFC	1956	N/A	5,630	(2004)	3	(2004)	X		Original	
32	Multi:Loan	ADB	1966	N/A	5,239	(2004)	36	(2003)	X	X	DAC Criteria+	Employed Rating and Weighting.
33	Multi:Loan	AfDB	1964	N/A	4,310	(2004)	16	(2004)	X	X	DAC Criteria+ & RBM	9 evaluation guidelines are available.
Multinational agency Type II: The UN agencies					9,963							
34	Multi: UN	WFP	1963	1965	2,900	(2004)	21	(2004)	X	X	RBM	Moves to RBM
35	Multi: UN	UN (main bodies)	1945	Not exist	1,483	(2004)	N/A					No evaluation unit exists.
36	Multi: UN	UNHCR	1951	1999	1,054	(2004)	13	(2004)		X	Original	
37	Multi: UN	WHO	1948	Not exist	893	(2004)	N/A					No evaluation unit exists
38	Multi: UN	UNDP	1966	N/A	842	(2004)	6	(2004)	X	X	RBM	Four guidelines are prepared for the UN members
39	Multi: UN	UNRWA	1949	Not existed	484	(2004)	N/A					No evaluation unit exists
40	Multi: UN	UNICEF	1946	N/A	437	(2004)	10	(2004)		X	DAC criteria & RBM	
41	Multi: UN	FAO	1945	1968	375	(2004)	35	(2004)	X		DAC criteria++	
42	Multi: UN	UNFPA	1969	2003	332	(2004)	31	(2003)	X	X	Original	
43	Multi: UN	IFAD	1978	N/A	311	(2004)	11	(2004)	X	X	RBM	
44	Multi: UN	UNESCO	1946	2001	305	(2004)	at least 14	(2003)	X	X	Original	
45	Multi: UN	ILO	1919	2005	138	(2004)	5	(2004)		X	DAC criteria & RBM	Brief guideline is available.
46	Multi: UN	UNIDO	1966	N/A	99	(2004)	7	(2004)			Review	
47	Multi: UN	UN-HABITAT	1978	N/A	93	(2004)	N/A	(2004)			Original	Doubtful about existence of any evaluatio unit
48	Multi: UN	UNODC(UNDCP)	1991	N/A	84	(2004)	14	(2004)	X	X	Original	
49	Multi: UN	UNCTAD	1964	N/A	75	(2004)	1or2	(2004)	X		DAC criteria	
50	Multi: UN	UNEP	1973	1985	58	(2004)	150	(2004)	X	X	Original	20 ex-post evaluations + 130 self-evaluations
Multinational agency Type III: EU												
51	Multi:EU	EU (Europe Aid Co-operation Office)	N/A	N/A	8,704	(2004)	12	(2004)			Various	A different member agency take a lead for each EU evaluation

Table 4 *Estimation of volume of evaluation reports, annual reports and guidelines.*

	# of agencies reviewed	Volume of Aid (US\$ mill.)	Volume of evaluation (# identified)	# of agencies publishing annual evaluation report	# of agencies having eval. guidelines
Bilateral agencies	26	79,512	449	9	15
Multi I: The loan agencies	7	50,123	200	7	5
Multi II: The UN agencies	17	9,963	317	9	10
Multi III: EU	1	8,730	12	0	0
Total	51		978	25	30