



Significant Financial Interest Disclosure:
Applicable to all Sponsored Project Proposals

Name _____ Department/College or Unit _____

Project Title _____ Sponsor _____

Project Role: ___ PI ___ Co-PI ___ Other (title):

Instructions: Please indicate with a check (✓) yes or no to items 1 and 2 as appropriate.

1. I am disclosing the following significant financial interests related to this project and held by my spouse, dependent child(ren), or myself:

___ Yes, as indicated below:

- ___ Salary or other payments for services (e.g., consulting fees or honoraria)
- ___ Equity interests (e.g., stocks, stock options, or other ownership interests)
- ___ Intellectual property rights (e.g., patents, copyrights, and royalties from such rights)
- ___ Travel - any reimbursed or sponsored travel except federal agencies, etc.
- ___ Other significant financial interest of the investigator that possibly could affect or be perceived to affect the results of the research or educational activities funded or proposed for funding

___ No, I have nothing to disclose.

2. I am attaching supporting documentation (in an envelope marked *confidential*) that identifies the business enterprise or entity involved and the nature and amount of the interest:

___ Yes ___ No

3. I agree:

- to update this disclosure during the period of the award, either on an annual basis, or as new reportable significant financial interests are obtained;
- to cooperate in the development of a memorandum of understanding that constitutes a conflict of interest "resolution plan" if so required;
- to comply with any conditions or restrictions imposed by the University to manage, reduce, or eliminate conflicts of interest or forfeit the award.

Signed: _____ Date: _____

Original signature only — a "per" signature is not acceptable.

Endorsements:

I have reviewed the significant financial interest disclosure and believe that it will be possible to develop and execute, prior to the institution's expenditure of any funds under the award, a memorandum of understanding to manage, reduce, or eliminate any conflict of interest; and, therefore, I recommend that the proposal be submitted to the agency at this time.

Chair/Director: _____ Date: _____

Dean or Associate Dean: _____ Date: _____